A Disciplined Planning & Investment Management Process

Client Meetings

Data Gathering
- □ Execute Privacy Statement
- □ Review completed Data Questionnaire
- □ Execute Client Agreement Letter
- □ Establish goals & objectives

Investment Planning
- □ Agree on investment priorities
- □ Execute Investment Policy Statement
- □ Confirm goals & objectives

Implementation Planning
- □ Execute custodial documents

Planning Meetings
- □ Insurance discussion
- □ Estate discussion
- □ Tax discussion
- □ Retirement Analysis
- □ Education Analysis
- □ Other

Annual Review Meetings
- □ Review & update goals, needs
- □ Review portfolio allocation
- □ Review planning objectives
- □ Specific recommendations, as needed

Field Questions
- □ Phone
- □ Email

Ongoing Service

Anders Financial Planning Analysis & Execution

Client Data Analysis
- □ Review client data, financial information
- □ Brokerage statements

Prepare Investment Plan
- □ Determine portfolio allocation
- □ Prepare Investment Plan
- □ Prepare custodial accounts
- □ Prepare Implementation plan
- □ Review insurance, estate, retirement, education

Implement Investment Plan
- □ Facilitate implementation
- □ Open custodial accounts
- □ System set-up
- □ Transfer funds / assets

Reporting Cycle
- □ Performance reports from custodian
- □ Continual review & ongoing manager due diligence
- □ Update plan relative to new client information and evolving goals

ANDERS
FINANCIAL PLANNING